

CUSTOMER NO. 21395

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

BOX APPLICATIONS

17858 U.S. PTO
10/714865



Re: New U.S. Patent Application

For: INFORMATION RECORDING MEDIUM, METHOD
AND APPARATUS FOR RECORDING AND
REPRODUCING INFORMATION

Inventor(s): Yasuhiro UEKI

Attorney Docket: 0102/0225

Sir:

Attached hereto is the application identified above, including 78 pages of textual specification including 30 claims, and 10 sheets of drawings.

[XX] This application is a divisional of U.S. Patent Application Serial No. 09/614,189 filed July 11, 2000.

[XX] Submitted herewith is a Preliminary Amendment. The filing fee and claims calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

(Col 1)		(Col 2)		(Col 3)	SMALL ENTITY		OR	NON-SMALL ENTITY	
TOTAL	NO. FILED	minus		NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	3	minus	20		x9=	0		x18=	\$
INDEP	3	minus	3		x42=	0		x84=	\$
_ First Presentation, Multiple Dependent Claims					+140=	0		+280=	\$
Base Filing Fee						\$			\$770
TOTAL FILING FEE* (accounting for possible small entity status)						\$	OR TOTAL		\$770

CUSTOMER NO. 21395

☐ Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

☐ is filed herewith;

☐ will be filed at a later date;

☐ was filed in the parent application.

☒ Foreign priority is claimed under 35 U.S.C. § 119 from the following patent application(s):

Japanese Patent Application No. 11-236629 filed August 24, 1999

Japanese Patent Application No. 11-255334 filed September 9, 1999

Japanese Patent Application No. 2000-83606 filed March 24, 2000

[Certified copies of the above-identified priority applications were submitted in parent application S.N. 09/614,189 on July 12, 2002.]

☒ A copy of the Declaration/Power of Attorney submitted in the parent '189 application is submitted herewith.

☐ Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).

☒ Formal drawing(s) is/are attached.

☐ Formal drawing(s) will be submitted at a later date.

☒ An Information Disclosure Statement, PTO-1449 citing references is/are submitted.

☒ The Assignment document of the parent application was recorded on July 11, 2000 on Reel 010928, Frame 0333.


☒ The Commissioner is hereby authorized to charge the amount of **\$ 770.00** for the filing of this application to Deposit Account No. 50-0501. Also, the Commissioner is authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached

CUSTOMER NO. 21395

- [] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.
- [XX] Address all future communications (may only be completed by applicant, or attorney of record) to Louis Woo at **Customer No. 021395** whose address is:

Law Office of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
(703) 299-4090

Respectfully submitted,



Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
Phone: (703) 299-4090

Date: Nov 18 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhiro UEKI

Divisional of S.N. 09/614,189
Filed July 11, 2000

Serial No.

Filed:

For: Information Recording Medium,
Method and Apparatus For
Recording and Reproducing
Information

Art Unit:

Examiner:

Atty Docket: 0102/0225

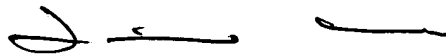
SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are ten (10) sheets of formal drawings containing Figs.
1-16 relating to the above-identified application.

Respectfully submitted,



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CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please send all correspondence for this application to the following address:

Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314

Please direct telephone calls to:

(703) 299-4090

Respectfully submitted,



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